PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78162

Yoshiyuki FUKUMOTO, et al.

Appln. No.: 10/697,124

Group Art Unit: 2627

Confirmation No.: 8462

Examiner: Jefferson A. EVANS

Filed: October 31, 2003

For:

MAGNETORESISTANCE DEVICE WITH A DIFFUSION BARRIER BETWEEN A CONDUCTOR AND A MAGNETORESISTANCE ELEMENT AND METHOD OF

FABRICATING THE SAME

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

MAIL STOP ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- 1. United States Patent No. 6,903,909, issued June 7, 2005.
- 2. United States Patent No. 6,801,414, issued October 5, 2004 previously submitted in an Information Disclosure Statement filed January 23, 2007 and considered May 29, 2007.

INFORMATION DISCLOSURE STATEMENT Attorney Docket No.: Q78162

U.S. Appln. No.: 10/697,124

None of the above listed U.S. Patents are being submitted. Each reference was cited in an

U.S. Office Action dated October 23, 2007 and issued in related U.S. Application No.

10/539,373.

The present Information Disclosure Statement is being filed after either a Final Office

Action, Notice of Allowance, or an action that otherwise closes prosecution in the application

(whichever is earlier), but before payment of the Issue Fee, and therefore Applicant is submitting

herewith a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p), and a Statement Under

37 C.F.R. § 1.97(e).

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Respectfully submitted, /Howard L. Bernstein/

Registration No. 25,665

Howard L. Bernstein

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: November 30, 2007

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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

Except for those previously submitted, that no item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in any communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making a reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of said Information Disclosure Statement.

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> WASHINGTON OFFICE 23373 CUSTOMER NUMBER

Date: November 30, 2007

Respectfully submitted. /Howard L. Bernstein/ Howard L. Bernstein Registration No. 25,665